M/EEG Decoding and Brain-Computer Interfacing

Moritz Grosse-Wentrup

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April 17, 2014





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2 Brain-Computer Interfacing



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(P.L. Nunez. Electric Fields of the Brain: The Neurophysics of EEG. Oxford University Press, 2005.)





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M/EEG Decoding & BCI

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• M/EEG signal $\mathbf{x}_i[t] \in \mathbb{R}^N$



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- Typically i.i.d. sampling is assumed

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- Disadvantage II: Not robust against noise. If $|\beta| \gg |\alpha|$ then the task-irrelevant source y may make it hard to find any effect of c on x.



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- Disadvantage f : ℝ^{N×T} → {-1,+1} needs to be learned from D.



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How do we determine which model generalizes best?

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How do we determine which model generalizes best?Cross-validation!

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To learn a good classifier with limited training data, we should

- reduce N and T without discarding information relevant for c,
- and find a representation of X that allows us to use a simple model class, e.g. a linear decoder.

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(Baillet, Mosher & Leahy. Electromagnetic brain mapping. IEEE Signal Processing Magazine, 2001)

Spatial filtering of M/EEG data:

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Spatial filtering of M/EEG data:

 $\mathbf{x}[t] = L\mathbf{s}[t]$

- Source vector $\mathbf{s}[t] \in \mathbb{R}^{K}$
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Unsupervised method based on a-priori knowledge of the spatial origin of relevant sources:

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- $\mathbf{w} = \mathbf{a}^{\mathsf{T}} \Sigma^{-1} / (\mathbf{a}^{\mathsf{T}} \Sigma^{-1} \mathbf{a})$

• Check the gain vector
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- Apply the spatial filter: $y[t] = \mathbf{w}^{\mathsf{T}} \mathbf{x}[t]$



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Supervised method to find spatial filters that discriminate between two conditions:

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The brain cares about oscillations:

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(van Albada & Robinson, Frontiers in Human Neuroscience, 2013)

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• DTFT
$$(y_i[t], \omega) = 1/T \sum_{t=0}^{T-1} y_i[t] e^{-j\omega t}$$



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M. Grosse-Wentrup (MPI-IS)

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- $\mathcal{Z}_i = \{z_i[\delta], z_i[\theta], z_i[\alpha], z_i[\beta], z_i[\gamma]\}$
- For log-bandpower features, linear decoders appear sufficient.



(van Albada & Robinson, Frontiers in Human Neuroscience, 2013)



M. Grosse-Wentrup (MPI-IS)

(Weichwald et al. Causal and anti-causal learning in pattern recognition for neuroimaging. PRNI, 2014)

How do we determine whether a feature z ∈ Z is relevant in an experimental setting?

(Weichwald et al. Causal and anti-causal learning in pattern recognition for neuroimaging. PRNI, 2014)

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- How do we determine whether a feature $z \in \mathcal{Z}$ is relevant in an experimental setting?
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Type of model	Encoding model	Decoding model
Theoretically		
Example		

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Theoretically	p(z c=1) eq p(z c=-1)?	
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videolectures.net/bbci2014_grosse_wentrup_causal_inference

(Weichwald et al. Causal and anti-causal learning in pattern recognition for neuroimaging. PRNI, 2014)

M. Grosse-Wentrup (MPI-IS)

Confounding by EOG-artifacts

Confounding by EOG-artifacts

Eye-blinking


Confounding by EOG-artifacts



Eye-blinking

Horizontal eye-tracking 2 - 4 Hz 4 - 8 Hz 8 - 12 Hz











30 - 40 Hz

85 - 125 Hz

-0.2

M. Grosse-Wentrup (MPI-IS)

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Confounding by EMG-artifacts

Confounding by EMG-artifacts



M. Grosse-Wentrup (MPI-IS)

(Grosse-Wentrup et al. How to Test the Quality of Reconstructed Sources in ICA of EEG/MEG Data. PRNI, 2013)

M. Grosse-Wentrup (MPI-IS)

M/EEG Decoding & BC

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EEG mixing model:

(Grosse-Wentrup et al. How to Test the Quality of Reconstructed Sources in ICA of EEG/MEG Data. PRNI, 2013)

M. Grosse-Wentrup (MPI-IS)

M/EEG Decoding & BC

April 17, 2014 16 / 30

 $\frac{\text{EEG mixing model:}}{\bullet \mathbf{x}[t] = A\mathbf{s}[t]}$

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M. Grosse-Wentrup (MPI-IS)

M/EEG Decoding & BCI

April 17, 2014 16 / 30

EEG mixing model:

- $\mathbf{x}[t] = A\mathbf{s}[t]$
- $\mathbf{x}[t], \mathbf{s}[t] \in \mathbb{R}^N, \ A \in \mathbb{R}^{N \times N}$

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M/EEG Decoding & BC

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Independent Component Analysis (ICA):

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Independent Component Analysis (ICA):

• Find $W \in \mathbb{R}^{N \times N}$ such that $\hat{\mathbf{s}}[t] = W \mathbf{x}[t]$ with $\forall i, j : \hat{s}_i \perp \hat{s}_j$

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- Then $\hat{\mathbf{s}}[t] = \mathbf{s}[t]$ and $W^{-1} = A$ up to permutation and scaling.

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- Identify non-cortical components

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- Then $\hat{\mathbf{s}}[t] = \mathbf{s}[t]$ and $W^{-1} = A$ up to permutation and scaling.
- Identify non-cortical components





(Grosse-Wentrup et al. How to Test the Quality of Reconstructed Sources in ICA of EEG/MEG Data. PRNI, 2013)

M/EEG Decoding & BC

EEG mixing model:

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- A: Mixing matrix, with the column a_i the source topography of s_i[t]
- $\forall i, j : s_i \perp s_j$

Independent Component Analysis (ICA):

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- Then $\hat{\mathbf{s}}[t] = \mathbf{s}[t]$ and $W^{-1} = A$ up to permutation and scaling.
- Identify non-cortical components
- Only reproject cortical components









Studies with severely paralyzed patients in Tübingen

Patient	Diagnosis	Age ^a	Sex	Duration of participation/year	Level of impairment	Type of BCI and average CRR ^b			Level of success ^c	CRR published in
				of study entry	_	SCP	SMR	P300		
HPS	ALS spinal	41	m	Present/1996	4	87		73	4 (SCP), 3 (P300) ^f	Kübler et al. (1999)
JB	ALS bulbar	49	m	2 years/1997	4	86			4 (SCP)	Birbaumer et al. (1999)
MP	ALS spinal	37	m	2 years/1997	3	66			3 (SCP)	Kübler et al. (1999)
MW	Brain stem stroke	26	f	Months/1995	4	Xd			2 (SCP)	Kuebler et al. (1998)
HE	ALS spinal	42	m	Present/1998	3	94			4 (SCP)	Neumann and Birbaumer (2003)
EK	ALS spinal	66	m	Months/1998	2	57			2 (SCP)	Neumann and Birbaumer (2003)
MZ	ALS spinal	31	m	Months/2000	4	70			3 (SCP)	Kübler et al. (2001)
LB	ALS	63	m	Months/1999	5	48			1 (SCP)	Neumann and Birbaumer (2003)
NB	ALS	40	m	Months/2000	5	59			2 (SCP)	Neumann and Birbaumer (2003)
KI	Cerebral paresis	33	m	Months/1998	3	50			1 (SCP)	Kübler (2000)
TK	Muscular dystrophy	33	m	Months/1998/2006	4	43		59	1 (SCP), 2 (P300) ^f	Kübler (2000)
RCS	ALS spinal	56	m	1 year/2003	4		77	69	3 (SMR), 2 (P300)	Kübler et al. (2005) and Nijboer et al. (2008)
HAC	ALS bulbar	67	m	2 years/2003	2		78	86	3 (SMR), 4 (P300)	Kübler et al. (2005) and Nijboer et al. (2008)
UBA	ALS spinal	47	f	Present/2004	3		81	82	3 (SMR), 4 (P300)	Kübler et al. (2005) and Nijboer et al. (2008)
HM	ALS spinal	53	m	3 years/2002	2	67	76	32	2 (SCP), 3 (SMR), 2 (P300)	Kübler et al. (2004), Kübler et al. (2005)
										and Nijboer et al. (2008)
JAK	ALS spinal	39	m	2 years/2005	3			50	2 (P300)	Nijboer et al. (2008)
LEK	ALS spinal	49	f	Present/2004	3			80	3 (P300)	Nijboer et al. (2008)
IR	ALS spinal	42	f	Present/2003	5	54	43		1 (SCP), 1 (SMR) ^f	Kübler et al. (2004)
SM	ALS spinal	35	m	Months/2002	2	84			3 (SCP)	Kübler et al. (2004)
KW	ALS spinal	47	f	Months/2002	2	78			3 (SCP)	Kübler et al. (2004)
GW	ALS bulbar	59	f	Months/2002	2	70			3 (SCP)	Kübler et al. (2004)
GB	ALS spinal	62	f	Months/2002	2	79			3 (SCP)	Kübler et al. (2004)
KR	ALS spinal	35	f	Present/2002	3	62		87	2 (SCP), 4 (P300)	Kübler et al. (2004) and Nijboer et al. (2008)
HJZ	ALS	60	m	Months/2002	3	74			3 (SCP)	Kübler et al. (2004)
RB	ALS, spinal	64	f	Months/2002	1	68			2 (SCP)	Kübler et al. (2004)
JF	ALS spinal	50	m	Months/2002	1	61			2 (SCP)	Kübler et al. (2004)
GR	ALS spinal	37	m	Present/2005	4			74	3 (P300) ^f	
PR	Heart attack	55	m	Years ⁱ /2002	5	50	Xe	X ^g	1 (SCP) ^f , 1 (SMR), 1 (P300) ^f	Hill et al. (2006)
AG	Chronic GBS ^j	42	f	Years ⁱ /2000	5	50	Xe	X ^g	1 (SCP) ^f , 1 (SMR), 1 (P300) ^f	Hill et al. (2006)
WER	ALS spinal	63	m	Days/2005	5		Xe	Xg	1 (SMR), 1 (P300) ^f	Hill et al. (2006)
G	Stroke	61	m	One session/2005	4		Xe		1 (SMR)	Hill et al. (2006)
WEW	ALS spinal	46	m	Months/2004	4			X ⁸	1 (P300)	Nijboer et al. (2008)
EM	ALS spinal	58	m	Weeks/2002	5	62			2 (SCP)	Hinterberger et al. (2005)
VWI	ALS spinal	57	f	3 sessions/2007	4			63	2 (P300) ^f	
UB	ALS spinal		m	Months/1997	2	X ^h				(adapted from Kübler et al., 2008)

M. Grosse-Wentrup (MPI-IS)

M/EEG Decoding & BCI

Studies with severely paralyzed patients in Tübingen

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M. Grosse-Wentrup (MPI-IS)

M/EEG Decoding & BCI

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Studies with severely paralyzed patients outside Tübingen

Diagnosis	Level of impairment	BCI type	Performance (CRR)	Level of success	Publication
SCI ^a (T7)	2	SMR	96/89	3	McFarland et al. (2005) and Wolpaw and McFarland (2004)
SCI (C6)	2	SMR	58/92/>60-<90 ^b	3	McFarland et al. (2005), Wolpaw and McFarland (2004) and
					McFarland et al. (2003)
Cerebral palsy	2	SMR	>60-<90 ^b		McFarland et al. (2003)
ALS	1	SMR	70–80 ^b >70 ^b	3	Wolpaw et al. (1997) and Miner et al. (1998)
ALS	2	P300	80 ^c	3	Sellers and Donchin (2006)
ALS	2	P300	73°	3	Sellers and Donchin (2006)
ALS	3	P300	62 ^c	2	Sellers and Donchin (2006)
SCI (C5)	2	SMR	73	3	Müller-Putz et al. (2005)
SCI (TH8)	2	SMR	95°	3	Krausz et al. (2003)
SCI (L1, incomplete)	2	SMR	72 ^c	3	Krausz et al. (2003)
SCI (L1)	2	SMR	80 ^c	3	Krausz et al. (2003)
SCI (TH12 (incomplete), L1, L4 (complete))	2	SMR	80 ^c	3	Krausz et al. (2003)
Cerebral palsy	3	SMR	70	3	Neuper et al. (2003)
SCI (C5)	2	SMR	≤100/grasp function ^d	3	Pfurtscheller et al. (2000), Pfurtscheller et al. (2003)
ALS	3	SMR	83	3	Müller-Putz et al. (2004)
ALS	3	P300	80 ^c	3	Piccione et al. (2006)
Brain stem stroke	4	P300	63°	2	Piccione et al. (2006)
SCI (C4)	2	P300	76 ^c	3	Piccione et al. (2006)
GBS	2	P300	67 ^c	2	Piccione et al. (2006)
Multiple sclerosis	3	P300	58°	2	Piccione et al. (2006)
SCI (C4 or C5 complete)	2	SMR	87 ^c	3	Kauhanen et al. (2006)
SCI (C4 or C5 complete)	2	SMR	88°	3	Kauhanen et al. (2006)
SCI (C4 or C5 complete)	2	SMR	69 ^c	2	Kauhanen et al. (2006)
Cerebral palsy	3	P300	100 ^c	3	Hoffmann et al. (2008)
Multiple sclerosis	3	P300	100 ^c	3	Hoffmann et al. (2008)
ALS	3	P300	100 ^c	3	Hoffmann et al. (2008)
Traumatic brain and spinal cord injury, C4 level	3	P300	100 ^c	3	Hoffmann et al. (2008)
Post-anoxic encephalopathy	3	P300	Not reported	1	Hoffmann et al. (2008) (adapted from Kübler et al., 2008)

Large-scale brain networks



(Adapted from Fox et al., 2005)

Can large-scale cortical networks be observed in the EEG?

(Grosse-Wentrup & Schölkopf, High Gamma-Power Predicts Performance in SMR BCIs, Journal of Neural Engineering, 2012)

M. Grosse-Wentrup (MPI-IS)

M/EEG Decoding & BCI

April 17, 2014 21 / 30

Can large-scale cortical networks be observed in the EEG?





(Grosse-Wentrup & Schölkopf, High Gamma-Power Predicts Performance in SMR BCIs, Journal of Neural Engineering, 2012)

M/EEG Decoding & BC

Experimental setup:

• 19 healthy subjects

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Average decoding accuracy:





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Average decoding accuracy:

• 70.3% (p = 0.002)





Spectral specificity of bandpower-regulation in SPC


Spatial specificity of bandpower-regulation in the $\gamma\text{-range}$



 r^2 -map of cortical sources in the γ -range

Spatial specificity of bandpower-regulation in the $\gamma\text{-range}$



 r^2 -map of electromyogenic sources in the γ -range

M. Grosse-Wentrup (MPI-IS)

M/EEG Decoding & BC

Self-regulation of γ -power in SPC modulates μ -rhythms



 r^2 -map of cortical sources in the γ -range



 r^2 -map of cortical sources in the μ -range

M. Grosse-Wentrup (MPI-IS)

Enhancement of $\gamma\mbox{-}{\rm power}$ in SPC was achieved by

• just doing it

- just doing it
- solving math problems

- just doing it
- solving math problems
- doing free-style skating in my mind

- just doing it
- solving math problems
- doing free-style skating in my mind
- ... and other tasks requiring focused attention

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Enhancement of $\gamma\mbox{-}{\rm power}$ in SPC was achieved by

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Attenuation of γ -power in SPC was achieved by

just doing it

- just doing it
- solving math problems
- doing free-style skating in my mind
- ... and other tasks requiring focused attention

- just doing it
- not thinking

- just doing it
- solving math problems
- doing free-style skating in my mind
- ... and other tasks requiring focused attention

- just doing it
- not thinking
- feeling my legs to be heavy

- just doing it
- solving math problems
- doing free-style skating in my mind
- ... and other tasks requiring focused attention

- just doing it
- not thinking
- feeling my legs to be heavy
- relaxing

- just doing it
- solving math problems
- doing free-style skating in my mind
- ... and other tasks requiring focused attention

- just doing it
- not thinking
- feeling my legs to be heavy
- relaxing
- ... and other states-of-mind related to relaxed wakefulness





7 8 9 10









M/EEG Decoding & BCI



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Patient GH: fMRI-study



Patient GH: fMRI-study

r² Delta-Ba





Patient GH: fMRI-study



M/EEG Decoding & BCI

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- Marius Klug
- Gabriele Lohmann
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http://brain-computer-interfaces.net